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REQUEST FOR REFUND  
Patent Application  
Docket No. GJE-7020

November 24, 2007

David Saliwanchik  
David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1797  
Applicants : Christopher Robin Lowe *et al.*  
Serial No. : 10/509,781  
Filed : September 29, 2004  
Conf. No. : 7716  
For : Holographic Sensor Based on a Volume Hologram in a Porous  
Medium

Mail Stop 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

REQUEST FOR REFUND

Sir:

Applicants respectfully request a refund in the above-referenced patent application for the following reason:

On October 3, 2007, the undersigned's Deposit Account No. 19-0065 was charged \$180.00 (fee code 1806) for Submission of an Information Disclosure Statement (IDS). Because the applicants filed a Request for Continued Examination at the time of filing the IDS, no fee was necessary for the IDS. A copy of the pertinent portion of the Deposit Account statement is attached for reference.

More specifically, on October 1, 2007, Applicants' undersigned representative transmitted an Amendment under 37 CFR §1.116 accompanied by a Request for Continued Examination (37 CFR §1.17(e)) with authorization to charge for Extension of Time (37 CFR §1.136 and §1.17) by first class mail. Included with the Request for Continued Examination was the 2<sup>nd</sup> Supplemental

Information Disclosure Statement Under 37 CFR §§ 1.97 and 1.98 and Form PTO/SB/08, which inadvertently and incorrectly authorized payment in the amount of \$180.00. Because the RCE was filed at the same time, authorization in the IDS of the \$180 charge was inadvertent and no fee should be charged for submission of the IDS since this fee is included in the charge for a Request for Continued Examination.

Accordingly, Applicants undersigned representative respectfully requests that a refund in the amount of \$180.00 be credited to Deposit Account No. 19-0065.

Respectfully submitted,



David R. Saliwanchik  
Patent Attorney  
Registration No. 31,794  
Phone No.: 352-375-8100  
Fax No.: 352-372-5800  
Address: P.O. Box 142950  
Gainesville, FL 32614-2950

DRS/la

Attachment: Copy of Deposit Account statement dated October 31, 2007.



# COPY

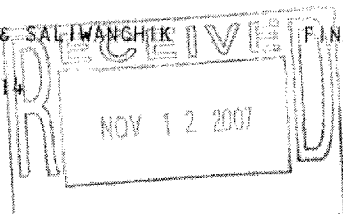
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United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
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## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make checks payable to "Director of the USPTO."

SALIWANCHIK, LLOYD & SALIWANCHIK P.A.  
PO BOX 142950  
GAINESVILLE FL 32614



Account No.	190065
Date	10-31-07
Page	1

PLEASE SEND REMITTANCES TO:  
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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PTO/SB/30 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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## Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	10/509,781
Filing Date	January 3, 200
First Named Inventor	Christopher Robin Lowe
Art Unit	1743
Examiner Name	Brian J. Sines
Attorney Docket Number	GJE-7020

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

  - ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
    - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - ☒ Enclosed
    - ☒ Amendment/Reply
    - ☐ Affidavit(s)/ Declaration(s)
    - ☒ Information Disclosure Statement (IDS)
    - ☐ Other \_\_\_\_\_
- Miscellaneous**

  - ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
  - ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  
The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 19-0065. I have enclosed a duplicate copy of this sheet.

  - ☒
    - ☒ RCE fee required under 37 CFR 1.17(e) \$810.00
    - ☒ Extension of time fee (37 CFR 1.136 and 1.17) \$460.00
    - ☐ Other \_\_\_\_\_
  - ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
  - ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Signature	<i>David R. Saliwanchik</i>	Date	October 1, 2007
Name (Print/Type)	David R. Saliwanchik	Registration No.	31,794

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Signature	<i>David R. Saliwanchik</i>	Date	
Name (Print/Type)		Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on the date shown below:

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
Patent Application  
Docket No. GJE-7020

October 1, 2007

David R. Saliwanchik

David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : *Not yet assigned*  
Art Unit : 1743  
Conf. No. : 7716  
Applicant(s) : Christopher Robin Lowe *et al.*  
Serial No. : 10/509,781  
Filed : September 29, 2004  
For : Holographic Sensor Based on a Volume Hologram in a Porous Medium

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

2<sup>nd</sup> SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97 AND 1.98

Sir:

The above-identified patent application was filed in the U.S. Patent Office as a national application under 35 USC §371.

The reference listed on the attached Form PTO/SB/08 was made of record in the corresponding Japanese application. The applicants are attaching a copy of the Official Letter from the corresponding application as well as a copy of the document cited within. Also attached is Form PTO/SB/08 listing the reference.

The applicants wish to point out all of the references cited in the Japanese Office Action with the exception of one have already been made of record in the subject application.

COPY

It is respectfully requested that the Examiner indicate consideration of the cited reference by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first office action on the merits, but before the mailing of a final action or the notice of allowance.

The applicants hereby authorize charge of \$180.00 to Deposit Account No. 19-0065. The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 19-0065.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,



David R. Saliwanchik  
Patent Attorney  
Registration No. 31,794  
Phone No.: 352-375-8100  
Fax No.: 352-372-5800  
Address: P.O. Box 142950  
Gainesville, FL 32614-2950

DRS/wrc

Attachments: Form PTO/SB/08 (1 page)  
English translation of the Japanese Official Letter  
Copies of the references cited (1)

COPY

PTO/SB/08A (07-05)  
Approved for use through 07/31/2006

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/509,781
Filing Date	September 29, 2004
First Named Inventor	Christopher Robin Lowe
Art Unit	1743
Examiner Name	Not yet assigned
Attorney Docket Number	GJE-7020

Sheet

1

of

1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	U1	US-5,352,582	10-04-1994	Lichtenwalter <i>et al.</i>	All
	U2	US-			
	U3	US-			
	U4	US-			
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			
	U9	US-			
	U10	US-			
	U11	US-			
	U12	US-			
	U13	US-			
	U14	US-			
	U15	US-			
	U16	US-			
	U17	US-			
	U18	US-			
	U19	US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	F1					
	F2					
	F3					
	F4					
	F5					
	F6					

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.